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Sir: Transmitted herewith for filing is the patent application of:

Inventor: SEE ATTACHED LIST (T. YAZAKI et al)

For:  
BANDWIDTH MONITORING METHOD AND ITS DEVICE

Enclosed are:



13 Sheets of Drawings



This application is being filed without an executed Declaration.



Priority is claimed from Japanese Application No. 11-154657  
filed June 2, 1999. ☒ A certified copy is attached herewith.



Copies of the disclosure documents listed on the attached PTO 1449 form and  
☒ discussed in the specification or ☒ attached Information Disclosure Statement.



A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.



Specification: Abstract ☒, Description 38 pages; and 13 claim(s).



Preliminary Amendment.



Executed Declaration.

The filing fee is calculated as shown below:

Small Entity

Large Entity

| For:  | No. Filed   | No. Extra |
|---|-------------|-----------|
| Basic Fee   |             |           |
| Total Claims  | 13 - 20 = * | 0         |
| Indep Claims  | 4 - 3 = *   | 1         |
| <input type="checkbox"/> Multiple Dependent Claim (s) |             |           |

\* If difference is less than zero  
then enter '0' in second column

| Rate  | Fee    |
|-------|--------|
|       | \$ 345 |
| x 9   | \$     |
| x 39  | \$     |
| + 130 | \$     |
| Total | \$     |

OR

| Rate  | Fee    |
|-------|--------|
|       | \$ 690 |
| x 18  | \$ 0   |
| x 78  | \$ 78  |
| + 260 | \$ 0   |
| Total | \$ 768 |



A check in the amount of \$ 768.00 is enclosed for the filing fee.



The Commissioner is hereby authorized to charge any additional fees that may be required to  
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Respectfully Submitted,

By:

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# 2025

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# THE UNIVERSITY OF CHICAGO

## United States Patent Application

Title of the Invention

# BANDWIDTH MONITORING METHOD AND ITS DEVICE

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## BANDWIDTH MONITORING METHOD AND ITS DEVICE

## BACKGROUND OF THE INVENTION

## Field of the Invention:

5           The present invention relates to a bandwidth monitoring method for monitoring a bandwidth for each packet which flows into a network, and a bandwidth monitoring device therefor.

## Description of the Related Art:

10           Traffics (packets), which flow over an Internet, are increasing rapidly with an increase in Internet users. In a packet type communication system employed in the Internet, packets sent from a large number of users can be transmitted through the use of the same line. It is therefore possible  
15           to reduce the cost per bandwidth. With a view toward achieving the low cost of the packet type communication system, moves have been made to integrate telecommunication networks and enterprise networks which have heretofore been achieved by private networks, into one by the Internet  
20           thereby to implement a reduction in communication cost. It is necessary to implement quality of service (QoS) such as low latency, low discard probability, etc. which have been achieved by the conventional telecommunication networks or enterprise networks for the purpose of integrating these

into one.

As a prior art related to QoS, there is known, for example, a Diffserv (Differentiated Service) (hereinafter called prior art 1) described in RFC2475 of IETF (Internet Engineering Task Force). The prior art 1 describes that traffics (packets) are divided into classes by a source/destination IP address, a source/destination port number, a protocol, etc. in a TCP/IP header at an entrance of a network which provides services, and forwarding operations are assigned thereto. Further, the prior art 1 also describes that each packet is transferred based on a transfer or forwarding operation related to a DSCP (Differentiated Service Code Point) in a header in the network.

A node at the entrance of the network assigns preferentially packet-transferred forwarding operations to packets each of which needs low latency and low discard probability, and each node lying within the network forwards the packets preferentially, whereby the low latency and low discard probability of the packets can be achieved. Incidentally, packets to which preferentially packet-transferred forwarding operations are assigned, and packets other than the packets will be called priority packets and non priority packets respectively.

A network for implementing QoS makes a contract for a bandwidth of priority packets with a user. The node at the entrance of the network has the function of performing bandwidth monitoring and performs monitoring at the  
5 bandwidth (bandwidth monitoring or check). The bandwidth monitoring function has been described in, for example, the prior art 1.

The prior art 1 describes that a contract for forwarding-operation decision rules (for example, voice  
10 packets are transferred preferentially, etc. ), a bandwidth, etc. (TCA: Traffic Conditioning Agreement) is established between the user and the network, and the node at the entrance of the network discards packets or changes DSCP so as to satisfy the TCA. Owing to the bearing of the bandwidth  
15 monitoring function by the node at the entrance of the network, a large amount of priority packets sent by one user can be prevented from flowing into the network, and QoS of priority packets sent from other users is achieved.

The bandwidth monitoring function is common as an ATM  
20 (Asynchronous Transfer Mode). Bandwidth monitoring executed in the ATM has been described in, for example, Chapter 4.5 of The ATM Forum Specification version 4.0 (hereinafter called prior art 2). In a VBR (Variable Bit Rate) service described in the prior art 2, a user makes

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a contract for a maximum bandwidth (PCR: Peak Cell Rate) and an average bandwidth (SCR: Sustainable Cell Rate) with a network. The user sends out each cell with CLP (Cell Loss Priority) indicative of priority of a cell discard in a cell header as priority (= 0: hard to discard a cell) and non  
5 priority (= 1: easy to generate a discard) according to the degree of importance. Further, the prior art 2 describes that packets having CLP = 0 are monitored at an average cell rate, thereby to discard a violation packet (called VBR.2)  
10 or to set the CLP of violation cells to "1" (called VBR.3). By allowing a node at an entrance of a network to hold such a bandwidth monitoring function, QoS in an ATM network is achieved.

In the prior art 1, the user might not be able to  
15 sufficiently use the contract bandwidth when the DSCP is judged according to the forwarding-operation decision rules. A description will be made of a case in which a bandwidth for priority packets is contracted under the decision rules for determining the voice packets as the priority packets  
20 and the packets other than the voice packet as the non priority packets.

The bandwidth monitoring device having the bandwidth monitoring function according to the prior art 1 judges voice packets lying within a monitoring bandwidth as priority

packets, and voice packets at the monitoring bandwidth or higher and packets other than the voice packets as non priority packets. When traffics sent out by a user are shown in Fig. 8(a), traffics subsequent to the passage of the bandwidth monitoring function are represented as shown in Fig. 8(b). Packets other than the voice packets indicated by diagonally-shaded portions shown in Fig. 8(b) are transmitted as non priority packets regardless of the fact that the priority packets fall within the monitoring bandwidth. Namely, the user is not able to sufficiently use the contract bandwidth for each priority packet.

On the other hand, even the prior art 2 will cause a problem similar to the above. The bandwidth monitoring device having the bandwidth monitoring function according to the prior art 2 transmits only cells with CLP = 0 within the monitoring bandwidth as CLP = 0. When traffics sent out by a user are given as shown in Fig. 15(a), traffics subsequent to the passage of the bandwidth monitoring function are represented as shown in Fig. 15(b). Cells corresponding to the diagonally-shaded portions in Fig. 15(b) are transmitted as cells with CLP = 1 regardless of the fact that the amount of cells with CLP = 0 is within the limit of the monitoring bandwidth. Namely, the user is not able to sufficiently utilize a contract bandwidth with



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## SUMMARY OF THE INVENTION

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Further, a leaky bucket algorithm having a plurality

of bucket depths is used as an algorithm for the bandwidth monitoring system, and the depth of the bucket is switched in accordance with each input packet.

In order to achieve the second object, a bandwidth  
5 monitoring device according to the present invention  
comprises flow detecting means for detecting a flow of a  
series of packets based on at least one of its address  
information, use identification information and network  
priority information identifying the priority of the packet  
10 in the network, and for determining a flow identifier  
indicative of an identifier of each flow and a packet  
priority within the flow, a bandwidth check or monitoring  
table having one or a plurality of entries each comprising  
bandwidth check control information indicative of control  
15 information for bandwidth check and a plurality of the  
network priorities, bandwidth check table control means for  
reading out an entry corresponding to the flow identifier  
from the bandwidth check table, check result decision means  
for judging whether the input packets conform to or violate  
20 the contract bandwidth based on the packet priority,  
bandwidth check control information within the entry read  
out by the bandwidth check table control means, and a value  
of a timer for indicating the present time, and priority  
decision means for determining a network priority of the

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input packet based on the judging result of the check result decision means and a plurality of network priorities read out by the bandwidth check table control means.

Further, a bandwidth monitoring device according to the present invention comprises connection priority decision means for determining a packet priority indicative of priority of a packet within the connection from connection information of each input packet, a bandwidth check table having one or a plurality of entries each comprising bandwidth check control information indicative of control information for bandwidth monitoring and network priorities information for identifying a plurality of priorities in the network, bandwidth check table control means for reading out an entry corresponding to an identifier for the connection from the bandwidth check table, check result decision means for judging whether the input packet conform to or violate the contract bandwidth, based on the priority within the connection, bandwidth check control information within the entry read out by the bandwidth check table control means, and a value of a timer for indicating the present time, and priority decision means for determining the network priority of the input packet based on the judging result of the check result decision means and a plurality of network priorities read out by the bandwidth check table

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control means.

Furthermore, a bandwidth monitoring device according to the present invention is characterized in that a leaky bucket algorithm having a plurality of bucket depths is used as an algorithm for the bandwidth monitoring to be carried out by the check result decision means, and a bucket depth for priority packets and a bucket depth for packets other than the priority packets are prepared as the bandwidth check control information.

Besides, the problems to be solved by the present application and means for solving them will become apparent from the description of the embodiments of the invention and the accompanying drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram showing a configuration of a router according to the present invention;

Fig. 2 is a constitutional diagram of an Internet;

Fig. 3 is a diagram depicting a format of a packet employed in the network;

Fig. 4 is a diagram showing a format of a packet processed in the router according to the present invention;

Fig. 5 is a block diagram illustrating a configuration of a bandwidth check unit 141 according to the present

invention;

Fig. 6 is a diagram depicting a format of a bandwidth check table 550;

Fig. 7 is a flowchart of the bandwidth check unit 141  
5 to which the present invention is applied;

Fig. 8(a) is a diagram showing the variation with the passage of time in traffics of voice packets and the other packets transmitted from an enterprise network A210;

Fig. 8(b) is a diagram showing the variation with the  
10 passage of time in traffics after passing through a bandwidth monitoring unit to which the prior art 1 is applied;

Fig. 8(c) is a diagram showing the variation with the passage of time in traffics after passing through a router having the bandwidth monitoring unit 141 to which the present  
15 invention is applied;

Fig. 9 is a block diagram showing a configuration of a bandwidth check unit 941 to which the present invention is applied;

Fig. 10 is a diagram illustrating a format of a  
20 bandwidth check table 950;

Fig. 11 is a flowchart of a check result decision unit 920 to which the present invention is applied;

Fig. 12 is a diagram showing the variation with the passage of time in traffics after passing through the router

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transmission side 121 for reading out the packets from the buffers on transmission side 131-i, a packet transmission circuit 161 for performing processing to transmit the packets, and an output line 102 from which packets are  
5 outputted.

Although Fig. 1 shows a pair of the input line 101 and the output line 102, the router 100 actually has a plurality of input lines 101 and output lines 102.

Fig. 3 shows one example of a format of each packet  
10 employed in a network. The packet transferred in the network comprises a header unit 310 and a data unit 320. The header unit 310 comprises a source IP address (hereinafter called SIP) 311 indicative of a source address (address of a transmission terminal), a destination IP address  
15 (hereinafter called DIP) 312 indicative of a destination address (address of a receiving terminal), a source port (hereinafter called SPORT) 313 indicative of a protocol or application program, a destination port (hereinafter called DPORT) 314, and a DSCP 315 indicative of priority within  
20 the network. Further, the data unit 320 comprises user data 321 indicative of data for a user. While the header unit 310 also includes information such as protocol information of the other layer higher than IP protocol in except for the above information, processing to be described later can

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be applied to them in a manner similar to the above information.

Fig. 3 shows a format in a case where a protocol of a transport layer is a TCP (Transmission Control Protocol) or a UDP (User Datagram Protocol), and a protocol of a network layer is an IP (Internet Protocol). However, the other protocols, for example, IPX as a protocol of the network layer or the like may be also applicable.

Fig. 4 shows one example of a format of packets in the router 100 according to the present invention. The format of the packet in the router 100 has an internal header unit 330 added to the format of the packets transferred in the network. The internal header unit 330 comprises a packet length 331 indicative of a byte length of the packet, an input line number 332 as an identifier of a line to which the packet is inputted, and an output line number 333 as an identifier of a line for outputting the packet therethrough.

When a packet is inputted from the input line 101, the packet receiving circuit 160 adds the internal header unit 330 to the packet, counts a byte length of the packet, and writes the byte length and an identifier of the input line 101 to which the packet is inputted, into the packet length 331 and the input line number 332, respectively. Then, the

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packet receiving circuit 160 stores the packet and at the same time transmits packet header information 11 comprised of the internal header unit 330 and the header unit 310 to the header processing unit 140. In this case, the output  
5 line number 333 includes a meaningless value.

The bandwidth monitoring unit 141 in the header processing unit 140 determines a DSCP from the packet header information 11 and transmits packet DSCP information 12 comprised of the DSCP to the packet receiving circuit 160.  
10 The routing processing unit 142 in the header processing unit 140 determines an output line 102, to which the received packet should be output, based on the DIP 312 included in the packet header information 11 and transmits it to the packet receiving circuit 160 as packet output line  
15 information 13.

Upon receiving the packet DSCP information 12 and the packet output line information 13, the packet receiving circuit 160 writes these information into the DSCP 315 and the output line number 333 and transmits the received packets  
20 to the buffer selector on receiving side 150. The buffer selector on receiving side 150 determines one of buffers on receiving side 130-i according to the value of the DSCP 315 and transmits the packet to the buffer on receiving side.

Each buffer on receiving side 130-i has a discard

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threshold 132-i and performs buffer storage control based on the value of the DSCP 315. According to the buffer storage control, when the DSCP of a received packet indicates a priority packet, the received packet is stored in the buffer on receiving side 130-i if the buffer on receiving side 130-i has an empty area, and the received packet is discarded if there is no empty area. When the DSCP indicates a non priority packet, the received packet is stored in the buffer on receiving side 130-i when the amount of packets already stored therein is equal to or less than the discard threshold 132-i, and the received packet is discarded even if the buffer on receiving side 130-I has an empty area when the amount of the packets already stored therein has exceeded the discard threshold 132-i.

The packet transmission circuit on receiving side 120 performs control for reading out the packets stored in the buffers on receiving side 130-i. As the read-out control, there are known priority queuing, weighted fair queuing, etc. According to the priority queuing, the packets are read out one after another in storing order thereof from the buffer on receiving side 130-i having a high priority as far as packets are stored in the buffer. When no packets are stored in the buffer on receiving side 130-I having a high priority, packets are read out in storing order thereof

from one of the other buffers on receiving side 130-i having a low priority. In the weighted fair queuing, packets are read out from each of the buffers on receiving side 130-i according to a predetermined ratio.

5 In the present invention, QoS of priority packets in the router 100 is achieved by utilizing the buffer storage control and read-out control described above in combination.

The packet forwarding processing means 110 performs switching of packets according to the output line number  
10 333, and the buffer selector on transmission side 151 transmits the packets to their corresponding buffers on transmission side 131-i, based on the value of the DSCP 315. The buffers on transmission side 131-i perform packet storage control similar to the buffers on receiving side  
15 130-i, and the packet transmission circuit on transmission side 121 performs packet read-out control similar to the packet transmission circuit on receiving side 120, thereby ensuring QoS of priority packets. Upon receiving a packet read out from the buffers on transmission side 131-i, the  
20 packet transmission circuit 161 deletes the internal header units 330 from the packet and transmits the packet to the output line 102.

Detailed operation of the bandwidth monitoring unit 141 according to the present invention will next be described

by referring to Fig. 2 and Figs. 5 through 7.

A network configuration supposed by the present invention will first be explained using Fig. 2.

Fig. 2 shows a network wherein enterprise networks  
 5 A:210, B:220, C:230 and D:240 are connected to one another  
 by an Internet 200. The Internet 200 comprises edge routers  
 A:202 and B:203 located at edges of the network, and a  
 backbone router 201 located at a core thereof. Gateway  
 routers A:211, B:221, C:231 and D: 241 are placed in gateways  
 10 to the Internet 200 within the enterprise networks A:210,  
 B:220, C:230 and D:240.

The router 100 of the present invention shown in Fig.  
 1 is used as the edge router A202 for checking or monitoring  
 a bandwidth of priority packets contracted between the  
 15 Internet 200 and the enterprise network A: 210. The gateway  
 router A: 211 of the enterprise network A: 210 has a boundary  
 marking case in which packets are transmitted without making  
 a distinction between priority and non priority destinations,  
 and a customer marking case in which packets are transmitted  
 20 distinguishing between priority and non priority  
 destinations.

The boundary marking case will first be explained.  
 Incidentally, the bandwidth monitoring unit 141  
 preferentially judges voice packets as priority packets in

the present embodiment.

As an algorithm for monitoring a bandwidth, such a modified algorithm is used that a Leaky Bucket Algorithm for monitoring a bandwidth of fixed-length packets is expanded in order to monitor a bandwidth of variable length packets. The Leaky Bucket Algorithm has been described in, for example, Chapter 4.4.2 of The ATM Forum Specification version 4.0.

The Leaky Bucket Algorithm is a model of certain depth of leakage bucket with a hole. While the bucket is storing water therein, water leaks at a predetermined rate corresponding to a monitoring bandwidth, and a fixed amount or level of water corresponding to one cell is poured into the bucket upon arrival of each cell. The bucket has a depth for the purpose of allowing fluctuations of cell arrival. When the water is not overflowing the bucket, input cells are judged to comply with a contract bandwidth. If the water overflows the bucket, input cells are judged to violate the contract bandwidth. In the invention of the present application, the monitoring of the bandwidth for variable length packets is achieved by varying the amount of water to be poured into the bucket depending upon a arrival packet.

A block diagram of the bandwidth monitoring unit 141 is shown in Fig. 5. The bandwidth monitoring unit 141

comprises a bandwidth check table control unit 560, a bucket water level decision unit 510, a check result decision unit 520, a DSCP decision unit 530, a flow detection unit 540, and a bandwidth check table 550.

5           The flow detection unit 540 is a functional part inherent in a router. In an ATM switch, a connection is established in advance, and bandwidth monitoring control information is read out according to a connection identifier of each input cell. Further, the bandwidth monitoring unit  
10           executes bandwidth monitoring through the use of the bandwidth monitoring control information (connection communication). On the other hand, since no connection is established in advance in a router device, the router device needs to have flow detecting means for determining a flow  
15           identifier used as an alternative to the connection identifier for each input packet according to information or the like lying within a header with a view toward performing the bandwidth monitoring by the router device (connectionless communication). The router reads out  
20           bandwidth monitoring control information corresponding to the flow identifier and executes the bandwidth monitoring by using the bandwidth monitoring control information.

Incidentally, in the specification of the present application, a packet identifying condition created by

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utilizing information such as in-header information or the like in combination will be referred to as flow condition, a series of traffics coincident with the flow condition will be called flow, and a decision as to whether each input packet  
 5 coincides with the flow condition, will be called flow detection, respectively.

Fig. 6 shows a format of the bandwidth check table 550.

The bandwidth monitoring or check table 550 has N bandwidth check information entries 600- 1 to 600-N. The  
 10 bandwidth monitoring unit 141 effects bandwidth monitoring on one or more flows which share the use of a bandwidth, according to one of bandwidth check control information entries 600-j ( where j= 1 to N). In the present embodiment, a flow of voice packets sent by the enterprise network A210  
 15 and a flow of packets other than the voice packets are monitored at a contract bandwidth according to one of said bandwidth check control information entries 600-j.

Each of bandwidth check control information entries 600-j comprises a threshold-A (THR-A) 601-j (Byte)  
 20 indicative of a depth of bucket for packets to which a flow priority to be described later is given as priority, a threshold-B (THR-B) 602-j (Byte) indicative of a depth of bucket for packets with non priority, a policing rate (POLR) 603-j (Byte/sec) indicative of a leakage rate of a bucket,

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i.e., a monitoring or check rate, a time stamp (TS) 604-j (sec) indicative of the previous arrival time of a packet which refers to the same bandwidth check control information entry 600-j, a count (CNT) 605-j (Byte) indicative of the amount or level of water stored in the bucket immediately after the bandwidth monitoring of the previous packet, a DSCP conformance (DSCPC) 606-j indicative of a DSCP of a packet judged as conformance by the bandwidth monitoring and transferred as a priority packet, and a DSCP non-conformance (DSCPN) 607-j indicative of a DSCP of a packet judged as non-conformance and transferred as a non priority packet. Incidentally, the THR-A 601-j and THR-B 602-j each indicative of the depth of the bucket satisfy the relations in  $\text{THR-A } 601\text{-j} \geq \text{THR-B } 602\text{-j}$ .

Fig. 7 shows a flowchart of the bandwidth monitoring unit 141.

Processing performed in the bandwidth monitoring unit 141 comprises bandwidth check start processing 700, bucket water level decision processing 710, check result decision processing 720, and DSCP decision processing 730. The later three kinds of processing are principally executed by the bucket water level decision unit 510, check result decision unit 520 and DSCP decision unit 530 respectively.

When the bandwidth monitoring unit 141 receives packet

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header information 11, a packet length storage 522 of the check result decision unit 520 stores a packet length 331 therein, and the flow detection unit 540 stores a SIP 311, a DIP 312, a SPORT 313 and a DPORT 314 therein (Step 701).

5 In Step 702, the flow detection unit 540 performs flow detection based on the stored information and judges or determines a flow identifier corresponding to an identifier of a flow for each input packet, and a flow priority which indicates the priority of packets within the flow. Thus,  
10 the flow detection unit 540 transmits flow identifier information 14 comprised of the flow identifier to the bandwidth check table control circuit 561 of the bandwidth check table control unit 560 and transmits flow priority information 17 comprised of the flow priority to a flow  
15 priority storage 524 of the check result decision unit 520. In the present embodiment, the flow priority of voice packet is defined as priority and the flow priority of the other packets are defined as non priority so that voice packets are preferentially judged as the priority packets.

20 Upon receiving the flow identifier information 14, the bandwidth check table control circuit 561 creates an address for accessing the bandwidth check table 550 based on the flow identifier information 14 to thereby read out bandwidth check control information 600-j. Thereafter, THR-A: 601-j

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and THR-B: 602-j are transferred to a THR storage 523 of the check result decision unit 520, and POLR 603-j, TS 604-j and CNT 605-j are transferred to a POLR storage 513, a TS storage 514 and a CNT storage 515 of the bucket water level  
 5 decision unit 510. Then DSCPC 606-j and DSCPN 607-j are transferred to a DSCPC storage 532 and a DSCPN storage 533 of the DSCP decision unit 530, respectively (Step 703).

In the bucket water level decision processing 710, the bucket water level decision unit 510 determines the level  
 10 of water in a bucket (bucket water level) immediately preceding the input of each packet. A bucket water level decision circuit 511 first calculates the difference between the value of a timer 512 for counting the present time and TS 604-j (sec) in the TS storage 514 which indicates the  
 15 arrival time of the previous packet to obtain a time which has elapsed since the arrival of the previous packet (Step 711). Next, the bucket water level decision circuit 511 calculates the level of water (reduced water level of bucket) which has leaked from the arrival of the previous packet  
 20 (Step 712) by multiplying the elapsed time (sec) by the POLR 603-j (Byte/sec) in the POLR storage 513. Further, the bucket water level decision circuit 511 subtracts the reduced water level of the bucket from the CNT 605-j in the CNT storage 515 which indicates a bucket water level

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immediately after the bandwidth monitoring of the previous packet, thereby to determine a bucket water level immediately preceding the input of a packet (Step 713). The bucket water level decision unit 510 determines whether the value of the bucket water level is positive or negative (Step 714). If the value is negative, the bucket water level decision unit 510 corrects the bucket water level to "0" which means that the bucket is empty (Step 715).

In the check result decision processing 720, a check result decision circuit 521 of the check result decision unit 520 determines whether water equivalent to a packet length of the input packet can be poured into the bucket. Firstly, a packet length (Byte) is added to the bucket water level (Byte) determined according to the bucket water level decision processing 710 (Step 721). Next, the flow priority information 17 transmitted by the flow detection unit 540 is stored in the flow priority storage 524. Then succeeding processing is determined depending upon the stored information (Step 722).

When the stored information is judged as priority, the depth of the bucket for the priority packet THR-A 601-j stored in the THR storage 523 and the above added value are compared (Step 723). If the added value (bucket water level + packet length) is larger than the value of THR-A 601-

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level + packet length  $\leq$  THR-B 602-j), Step 725 referred to above is executed.

Steps 722 and 724 are processed inherent in the present invention. When the enterprise network A210 is  
5 transmitting voice packets at a rate within the contract bandwidth, since no overflow occurs as far as the amount of water poured in the bucket corresponds to the voice packets only, some amount of packets other than the voice packets may be transferred using the remain of the contract  
10 bandwidth by judging them as conformance. On the other hand, when a user is transmitting voice packets at a rate greater than the contract bandwidth, the bucket always stores water over the threshold level THR-B 602-j. Therefore, in this case, only the voice packets are judged as conformance.

15 Upon receiving bandwidth check result information 15 indicative of conformance, the bandwidth check table control circuit 561 writes bucket water level information 16 and a count or value of the timer 512 into their corresponding CNT 605-j and TS 604-j as a bucket water level and an arrival  
20 time of the packet at the instant of the bandwidth monitoring (Step 727). The bandwidth check table control circuit 561 does not execute Step 727 referred to above when bandwidth check result information 15 indicative of violation is received.

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In the DSCP decision processing 730, the DSCP decision unit 530 determines a DSCP, based on the bandwidth check result information 15. When the bandwidth check result information 15 is judged as conformance, the DSCP decision circuit 531 judges the DSCP stored in the DSCPC storage 532 as a DSCP of the input packet and transmits packet DSCP information 12 comprised of the DSCP to the packet receiving circuit 160 (Step 731). When the bandwidth check result information 15 is judged as violation, the DSCP decision circuit 531 judges the DSCP stored in the DSCPN storage 533 as a DSCP of the input packet and transmits packet DSCP information 12 comprised of the DSCP to the packet receiving circuit 160 (Step 732).

The bandwidth monitoring device having the bandwidth monitoring function, according to the prior art 1, determines voice packets within a monitoring bandwidth (contract bandwidth) as priority packets while judging surplus voice packets over the monitoring bandwidth and packets other than the voice packets as nonpriority packets. When the traffics shown in Fig. 8(a) are inputted, the traffics observed after the prior art bandwidth monitoring are represented as shown in Fig. 8(b). Packets other than voice packets indicated by diagonally-shaded portions shown in Fig. 8(b) are transmitted as non priority packets



regardless of the fact that the real amount of priority packets stays within the monitoring bandwidth. Namely, a manager of the enterprise network A210 is not able to sufficiently utilize the contract bandwidth for the priority  
5 packets.

Since the bandwidth monitoring unit 141 according to the present invention is newly provided with a threshold THR-B 602-j, it is able to judge some amount of packets other than voice packets as priority packets when the bandwidth  
10 of the received voice packets is below the contract bandwidth and the bucket water level is less than the THR-B 602-j. Traffics observed after passing through the bandwidth monitoring by the bandwidth monitoring unit 141 of the present invention are shown in Fig. 8(c), which indicates  
15 that the manager of the enterprise network A210 can sufficiently utilize the contract bandwidth.

The above-described embodiment has described a case in which the gateway router A211 transmits two types of packets (voice packets and the other packets) different in  
20 flow priority. A description will next be made of a case in which four types of packets different in flow priority are transmitted.

In the following embodiment, the gateway router A: 211 transmits four types of packets different in flow priority,

such as packets of voice, transaction data, E-mail, and others. Incidentally, for example, priorities are given in order of voice > transaction data > E-mail > others.

Fig. 9 is a block diagram of a bandwidth monitoring unit 941, Fig. 10 shows a format of a bandwidth check table 950, and Fig. 11 is a flowchart of check result decision processing 1120, respectively. A description will be made of the difference between the transmission of the four types of packets and the transmission of the two types of packets.

As compared with the bandwidth check table 550, the bandwidth check table 950 is newly provided with THR-C 1008-j (where  $j = 1$  to  $N$ ) and THR-D 1009-j. Incidentally, there is a relation of  $\text{THR-A } 601-j \geq \text{THR-B } 602-j \geq \text{THR-C } 1008-j \geq \text{THR-D } 1009-j$ .

When the flow priority of packets are classified into four types, a flow detection unit 940 applies Step 1102 for determining a flow identifier and flow priorities (priority 1 to priority 4), transmitting flow identifier information 14 comprised of the flow identifier to a bandwidth check table control circuit 561 of a bandwidth check table control unit 560, and transmitting flow priority information 20 including one of said four flow priorities to a flow priority storage 924 in place of Step 702. Further, Step 703 is replaced by Step 1103 for storing even THR-C 1008-j, THR-D

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1009-j in a THR storage 923 in addition to the THR-A 601-j and THR-B 602-j.

Steps 722 through 724 of the check result decision processing 720 are respectively replaced by Steps 1122 through 1126 of check result decision processing 1120 shown in Fig. 11. In Step 1122, the flow priority storage 940 stores therein the flow priority information 20 transmitted by the flow detection unit 940, and processing operation is divided into four branches depending upon the stored information. When the stored information are respectively given as priority 1, priority 2, priority 3, and priority 4, THR-A 601-j, THR-B 602-j, THR-C 1008-j, and THR-D 1009-j are respectively compared in magnitude with added value of the bucket water level and packet length calculated in Step 721, and a decision as to either conformance or violation is made (Steps 1123 through 1126).

When the gateway router A: 211 transmits the four types of packets different in flow priority as described above, these packets can be packed into the contract bandwidth in order from the packets high in flow priority as shown in Fig. 12 owing to the bearing of four bucket's depths by the edge router A: 202. Similarly, when the gateway router A: 211 transmits H ( $> 2$ ) types of packets different in flow priority, these packets can be packed into the contract

bandwidth in order from the packets high in flow priority owing to the bearing of H pieces of bucket's depths by the edge router A:202.

A description has been made so far of the operations  
5 of the bandwidth monitoring unit 141 and the bandwidth monitoring unit 941 in the boundary marking case in which the enterprise network A: 210 transmits the packets without drawing the distinction between the priorities. A description will next be made of the operation of the  
10 bandwidth monitoring unit 141 in the customer marking case in which the enterprise network A: 210 transmits the packets while distinguishing between the priorities thereof.

In Fig. 2, it is assumed that the bandwidth for the priority packets is contracted between the Internet 200 and  
15 the enterprise network A: 210, and the gateway router A: 211 transmits priority packets and non priority packets in distinction from one another according to DSCP as shown in Fig. 13(a). The edge router A: 202 performs bandwidth monitoring to carry out an re-assignment of the DSCP. A  
20 router 100 having the bandwidth monitoring unit 141 to which the present invention is applied, is used as the edge router A: 202.

In the boundary marking case, when the bandwidth monitoring unit 141 receives the packet header information

11 in Step 701, the flow detection unit 540 has stored the  
SIP 311, DIP 312, SPORT 313 and DPORT 314 therein. In the  
customer marking case, on the other hand, the DSCP 315  
included in the header unit 310 is also stored in addition  
5 to the above information and used for flow detection.  
Operation other than these is identical to the operation  
of the bandwidth check unit 141 in the boundary marking case.

Since the DSCP of each non priority packet is not  
changed in the prior art 2 even when the gateway router A:  
10 211 does not transmit the priority packets, the manager of  
the enterprise network A: 210 could not effectively utilize  
the contract bandwidth (see Fig. 13(b)). On the other hand,  
when the router 100 provided with the bandwidth monitoring  
unit 141 according to the present invention is used as the  
15 edge router A: 202, the manager of the enterprise network  
A: 210 can effectively utilize the contract bandwidth owing  
to a rise in priority of the DSCP of the non priority packets  
when the gateway router A: 211 transmits priority packets  
at a rate less than the contract bandwidth (see Fig. 13(c)).

20 The embodiments illustrated up to now have described  
the bandwidth monitoring of the connectionless  
communication. A block diagram of a bandwidth monitoring  
unit 1441 employed in a connection communication, such as  
an ATM and a frame relay, is shown in Fig. 14.

In the bandwidth monitoring unit 1441, the flow detection unit 540 shown in Fig. 9 is replaced by a connection priority decision unit 1440. The connection priority decision unit 1440 determines a connection priority which  
5 indicate the priority of packets within a connection, based on a connection identifier within connection identifier information 18 and packet header information 11 and transmits the connection priority to a connection priority storage 1424 as connection priority information 19.

10 A bandwidth check table control circuit 1461 generates the address of one of entries in a bandwidth check table 550 based on the connection identifier used in place of the flow identifier to read out a bandwidth monitoring control information entry 600-j. Further, a check result decision  
15 circuit 1421 judges the conformance or violation of the packet, based on the connection priority stored in the connection priority storage 1424. The other processing other than the above processing are identical to the operation of the bandwidth monitoring unit 141 employed in the  
20 connection communication.

Although the priority of the network has been described while being limited to the DSCP of the IP header, a CLP (Cell Loss Priority) bit lying within a header of ATM cell and a DE (Delete Enable) bit lying within a frame header of a

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frame relay can be also treated in a manner similar to the DSCP. Traffics in a customer marking case in which the gateway router A: 211 effects marking on the CLP of the ATM cells are shown in Fig. 15(a), traffics after the bandwidth  
5 monitoring according to the prior art 2 are shown in Fig. 15(b), and traffics after passing through the edge router A: 202 to which the present invention is applied are shown in Fig. 15(c).

According to the prior art 2, since the CLP of an ATM  
10 cell with CLP = 1 remains unchanged even when the gateway router A: 211 transmits ATM cells with CLP = 0 at a rate less than the contract bandwidth, the manager of the enterprise network A: 210 cannot effectively utilize the contract bandwidth. On the other hand, when the router 100  
15 armed with the bandwidth monitoring unit 141 according to the present invention is used as the edge router A: 202, the manager of the enterprise network A: 210 can effectively utilize the contract bandwidth because some amount of ATM cells with CLP = 1 are changed to ATM cells with CLP = 0  
20 as far as the gateway router A: 211 transmits ATM cells with CLP = 0 at a rate less than the contract bandwidth

According to the present invention, in a network for preferentially transferring priority packets each having a packet header in which a priority field is given as priority

as compared with non priority packets other than the priority packets, wherein a network operator of the network makes a contract for a bandwidth of priority packets with a network user utilizing the network, and the bandwidth of the priority  
5 packets are monitored by a node at the entrance of the network, the following advantages are available.

When the amount of priority packets transmitted from the network user by setting a priority indication in the priority field thereof is within the contract bandwidth,  
10 the priority field of the other packets transmitted from the user is automatically rewrite to a value corresponding to the priority indication by a node located at the entrance of the network, whereby the network operator can provide a service which permits the effective use of the contract  
15 bandwidth. By applying the bandwidth monitoring unit having a function of rewriting the contents of the priority field of non priority packets to a node at the entrance of the network, such a bandwidth monitoring device is realized that can offer a communication service in which the utility  
20 efficiency of the contract bandwidth is improved.

According to the present invention, when the network user transmits packets to the network without setting the priority field, and the node at the entrance of the network determines priority packets and non priority packets



When it is found in the above decision that the  
5 bandwidth of the priority packets is less than the limit  
of contract bandwidth, by automatically changing the  
contents of the priority field of packets judged as the non  
priority packet to a value corresponding to that of the  
priority packet, it is possible to provide a service which  
10 permits the network user to effectively use the contract  
bandwidth.

WHAT IS CLAIMED IS:

1. A bandwidth monitoring method suitable for use in a network for transmitting specific type of packets in preference to packets other than the specific type of packets, comprising the steps of:

when a packet flows into the network, monitoring whether the packet violates a contract bandwidth being under contract with a source of the packet;

judging whether the packet corresponds to the specific type of packet; and

when the packet does not violate the contract bandwidth and does not belong the specific type of packet, transmitting the packet as the specific type of packet.

2. The bandwidth monitoring method according to claim 1, wherein said packet has a header, and said judging as to whether the packet corresponds to the specific type of packet is performed according to a value in the header.

3. The bandwidth monitoring method according to claim 2, further comprising the step of:

when the value in the header does not correspond to a specific value indicative of the specific type of packet, changing the value in the header to said specific value.

4. The bandwidth monitoring method according to claim 2, wherein said header has a priority field and said judging as to whether the packets correspond to the specific type of packet is performed according to the value in the priority field.

5. The bandwidth monitoring method according to claim 1, wherein said monitoring is carried out by using a leaky bucket algorithm with a first depth of bucket when the packet is not the specific type of packet, and a leaky bucket algorithm with a second depth of bucket different from the first depth when the packet corresponds to the specific type of packet, thereby to judge whether or not said packet violates the contract bandwidth being under contract with the source of the packet.

6. A bandwidth monitoring method for use in a network for transmitting specific type of packets in preference to the other packets having types other than the specific type, comprising the steps of:

when a packet flows into the network, monitoring whether the packet violates a contract bandwidth being under contract with a source of the packet;

determining whether the packet corresponds to the specific type of packet; and

transmitting the packet as a packet having the specific type when a bandwidth being used by the source of the packet  
5 is less than or equal to a first bandwidth smaller than the contract bandwidth and the packet does not correspond to the specific type of packet.

7. The bandwidth monitoring method according to claim  
10 6, further comprising the step of:

transmitting the packet as a packet other than the specific type of packet when the bandwidth being used by the source of the packet exceeds the first bandwidth and the packet does not correspond to the specific type of  
15 packet.

8. The bandwidth monitoring method according to claim 6, further comprising the step of:

transmitting the packet as a packet other than the specific type of packet when the bandwidth being used by  
20 the source of the packet exceeds the contract bandwidth and the packet corresponds to the specific type of packet.

9. The bandwidth monitoring method according to claim

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6, wherein said monitoring is carried out by using a leaky bucket algorithm with a first depth of bucket when the packet is not the specific type of packet, and a leaky bucket algorithm with a second depth of bucket when the packet  
5 corresponds to the specific type of packet, said first depth being different from said first depth, thereby to judge whether or not said packet violates the contract bandwidth being under contract with the source of the packet.

10 10. A bandwidth monitoring device for monitoring a bandwidth of packets which flow into a network, comprising:

flow detecting means for detecting a flow of a series of packets based on at least one of address information, use identification information and a network priority of  
15 an input packet, said network priority identifying the priority of the input packet within the network, and for determining a flow identifier indicative of an identifier of a flow to which the input packet belongs and a flow priority indicative of the priority of the input packet within the  
20 flow;

a bandwidth check table including at least one entry comprising bandwidth monitoring control information indicative of control information for bandwidth monitoring and a plurality of said network priorities;

bandwidth check table control means for reading out an entry corresponding to the flow identifier from said bandwidth check table;

check result decision means for performing a decision  
5 as to the conformance or violation of the bandwidth for the input packet, based on the flow priority, bandwidth monitoring control information within the entry read out by said bandwidth check table control means, and a value of a timer for indicating the present time; and

10 priority decision means for determining a network priority of the input packet from the result of decision by said check result deciding means and a plurality of network priorities read out by said bandwidth monitoring table control means.

15

11. The bandwidth monitoring device according to claim 10, wherein said check result decision means uses a leaky bucket algorithm having a plurality of bucket's depths as a bandwidth monitoring algorithm, and

20

the entry of said bandwidth check table indicates a depth of bucket for the priority packets and a depth of bucket for packets other than the priority packet.

12. A bandwidth monitoring device for monitoring a

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bandwidth of packets flow into a network, comprising:

connection priority decision means for determining a connection priority indicative of the priority of an input packet within a connection based on connection information  
5 of the input packet;

a bandwidth check table having at least one entry comprising bandwidth monitoring control information indicative of control information for bandwidth monitoring and network priorities corresponding to information for  
10 identifying a plurality of priorities in the network;

bandwidth check table control means for reading out an entry corresponding to an identifier of the connection from said bandwidth check table;

check result decision means for performing a decision  
15 as to the conformance or violation of the bandwidth for the input packet, based on the connection priority, bandwidth monitoring control information within the entry read out by said bandwidth check table control means, and a value of a timer for indicating the present time; and

20 priority decision means for determining a network priority of said input packet from the result of decision by said check result decision means and a plurality of network priorities read out by said bandwidth monitoring table control means.

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13. The bandwidth monitoring device according to claim  
12, wherein said check result decision means uses a leaky  
bucket algorithm having a plurality of bucket's depths as  
5 a bandwidth monitoring algorithm, and

the entry of said bandwidth check table indicates a  
depth of bucket for the priority packets and a depth of bucket  
for packets other than the priority packet.

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## ABSTRACT OF THE DISCLOSURE

A bandwidth monitoring device for use in a network for transferring priority packets in preference to non priority packets as far as the amount of the priority packets is within  
5 a contract bandwidth established between a network user and a network operator, comprising a bandwidth check result decision unit for detecting whether the bandwidth of the priority packets is less than the contract bandwidth, and a DSCP decision unit for determining that a non priority  
10 packet may be transferred as a priority packet when the bandwidth of the priority packets is less than the contract bandwidth thereby to sufficiently use the contract bandwidth.

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FIG. 1

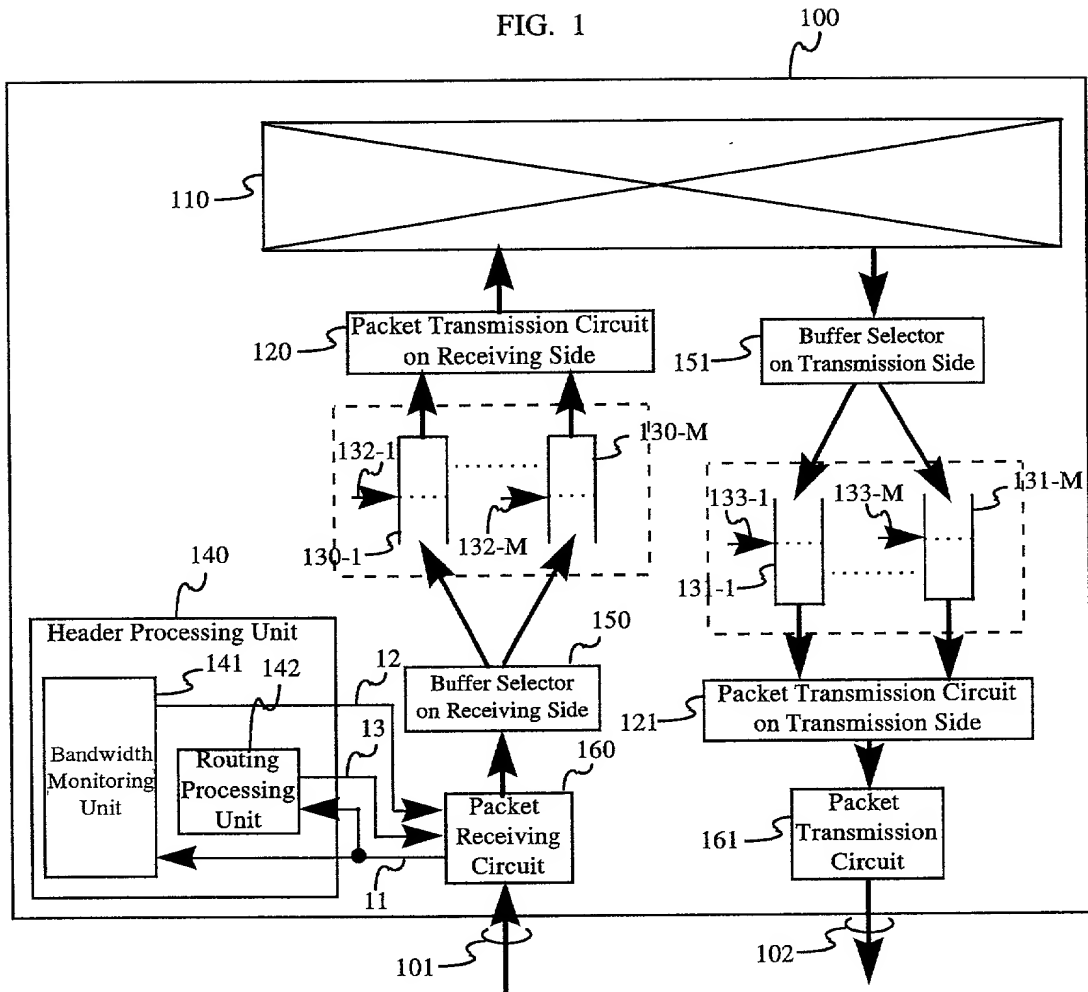


FIG. 2

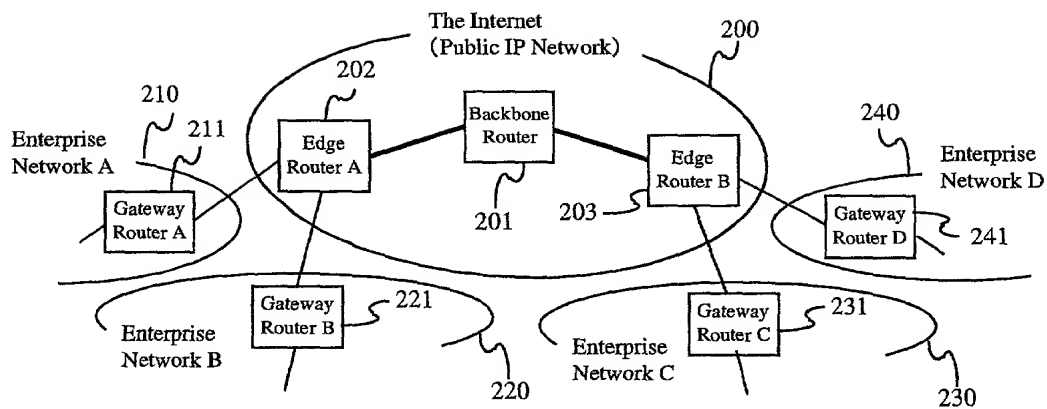


FIG. 3

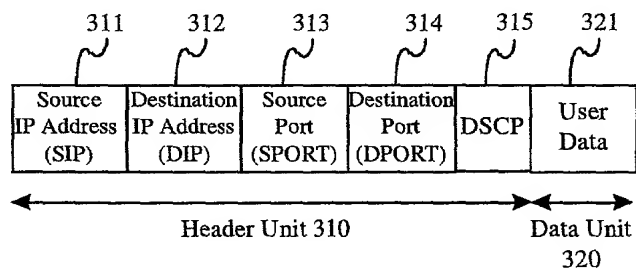


FIG. 4

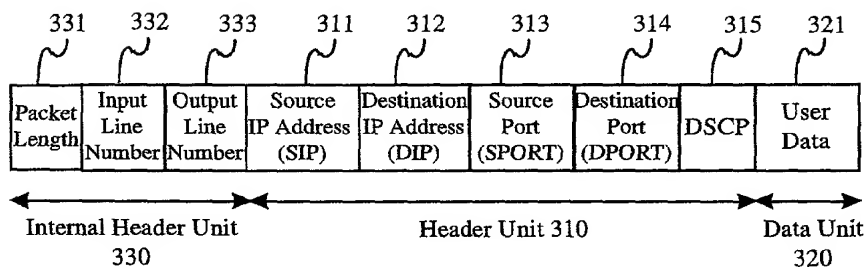


FIG. 5

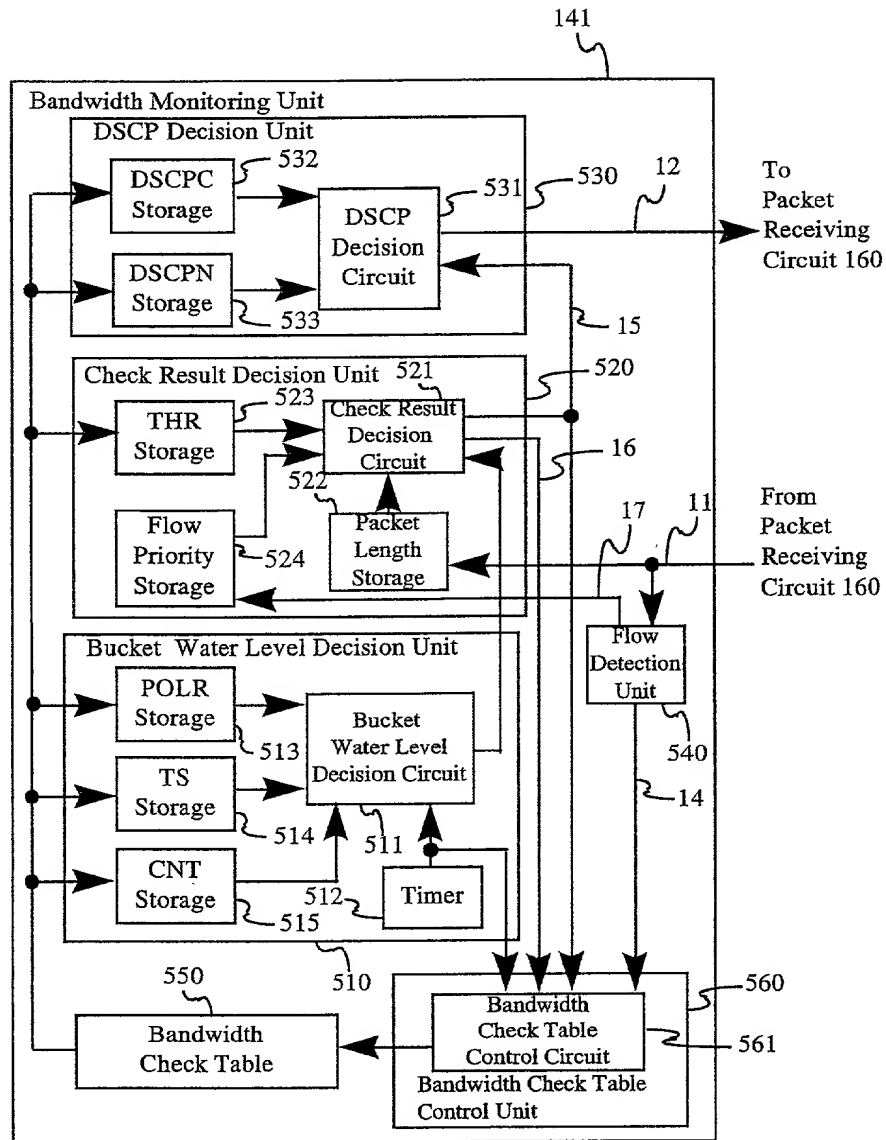


FIG. 6

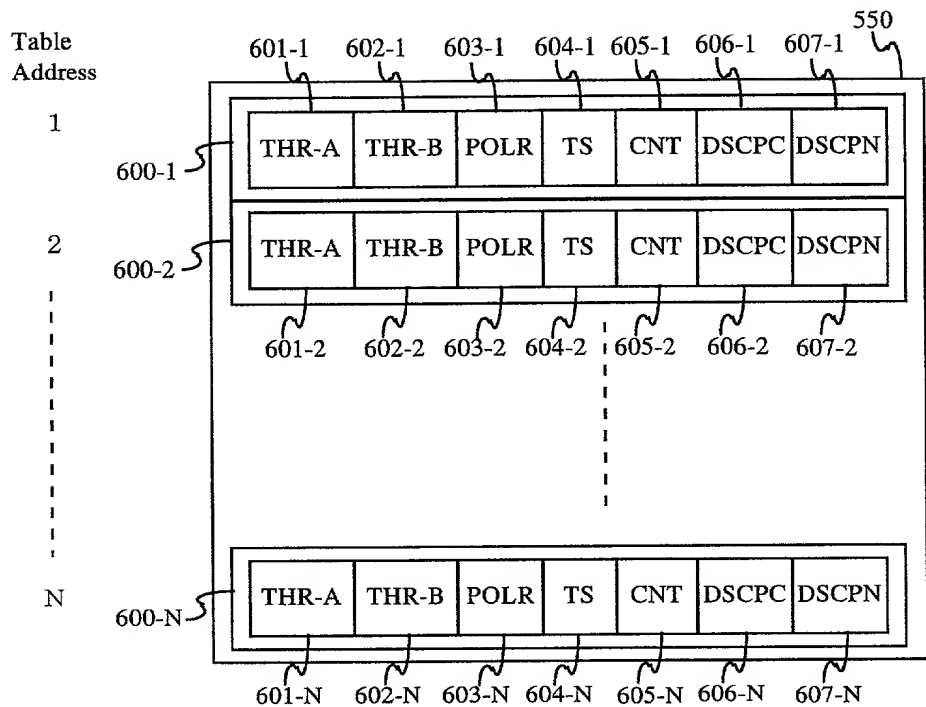
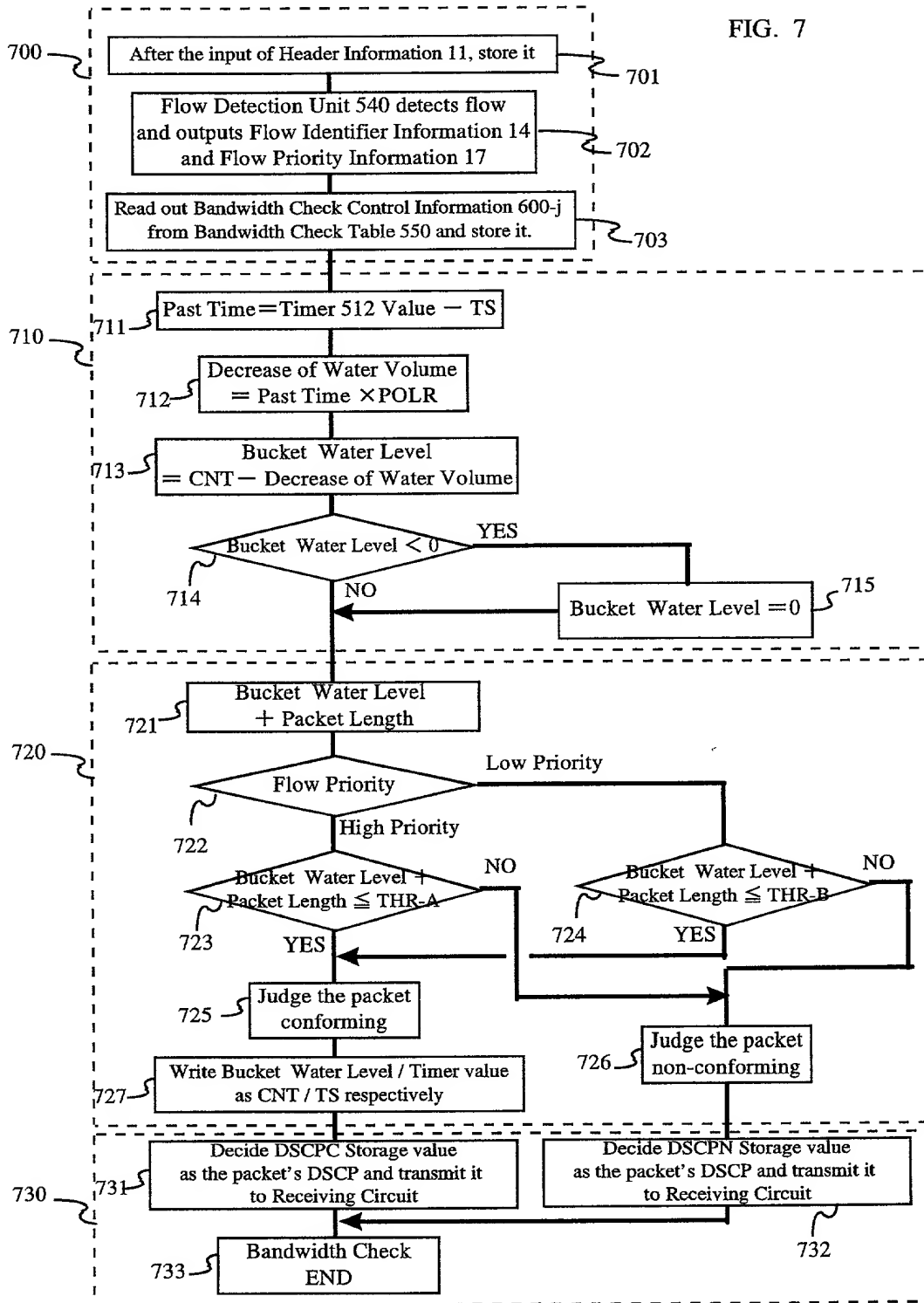


FIG. 7



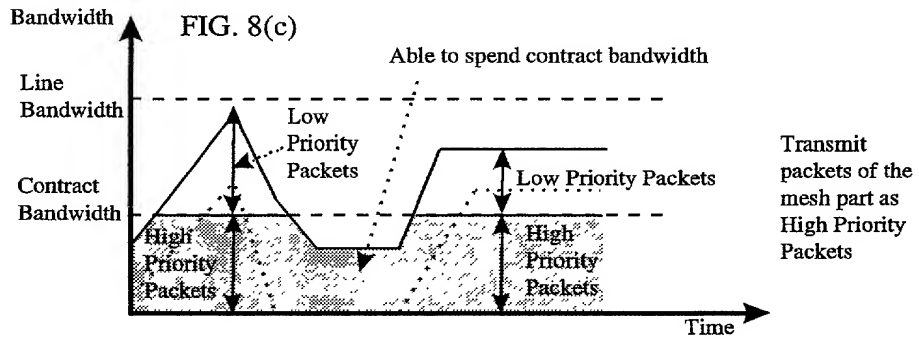
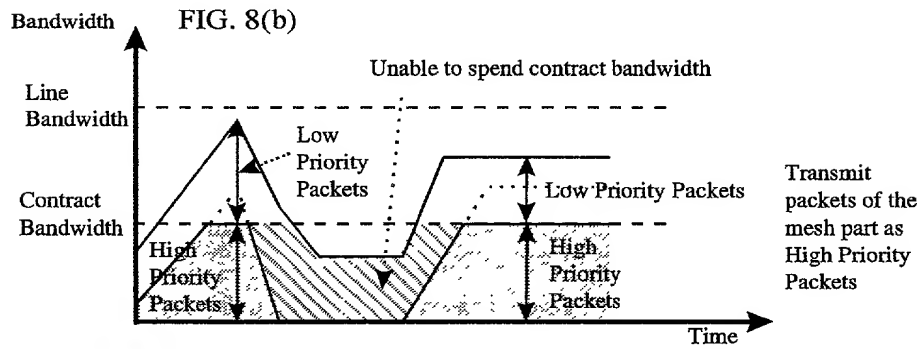
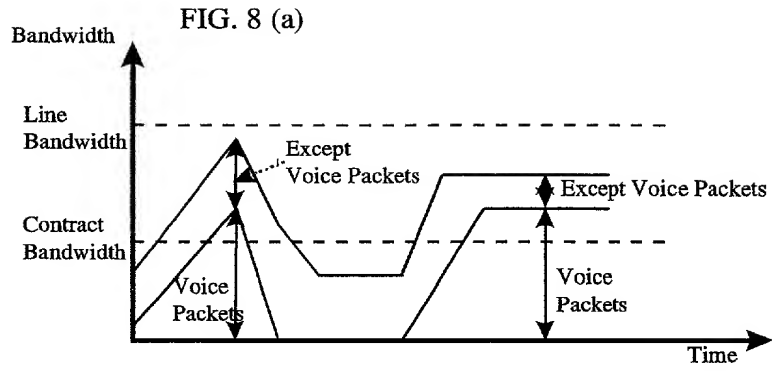


FIG. 9

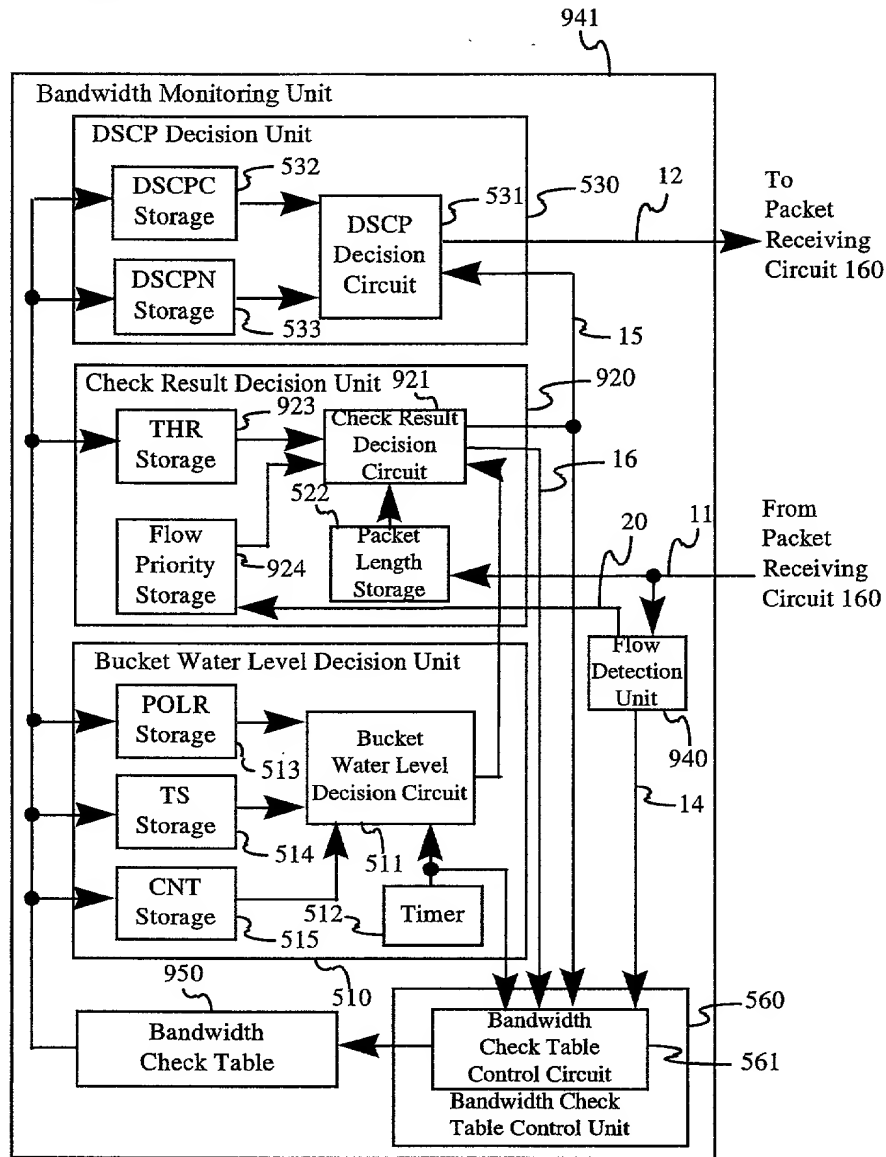




FIG. 10

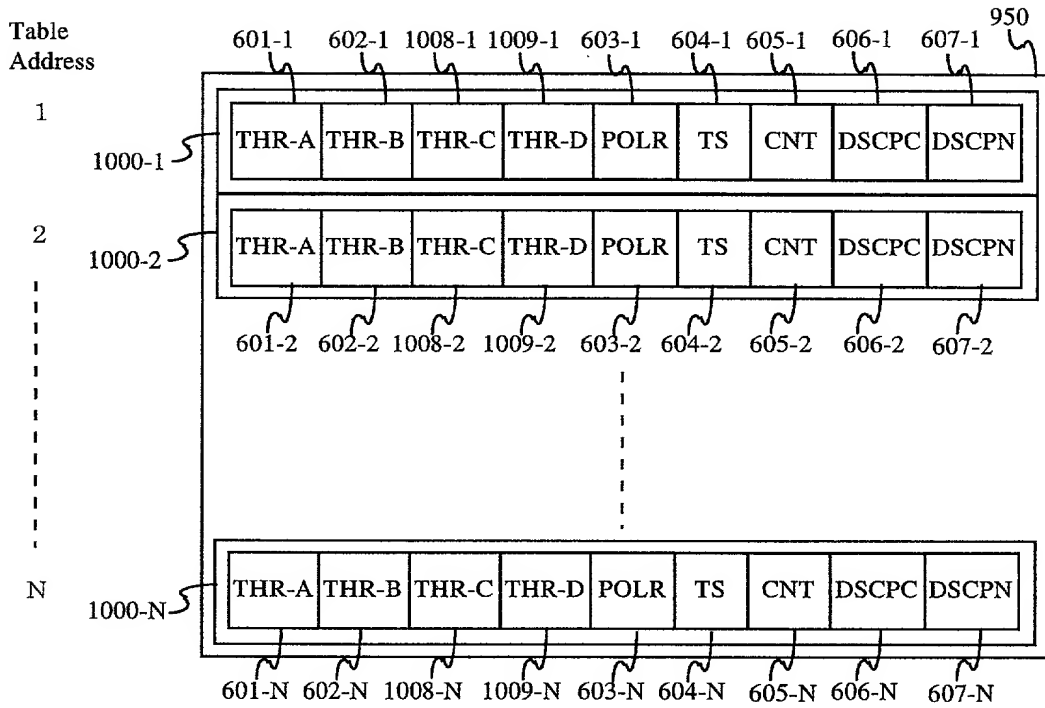




FIG. 12

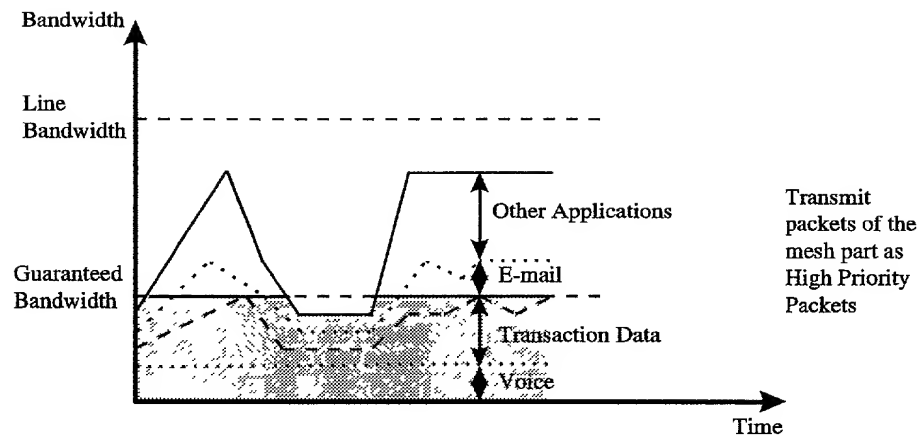


FIG. 13 (a)

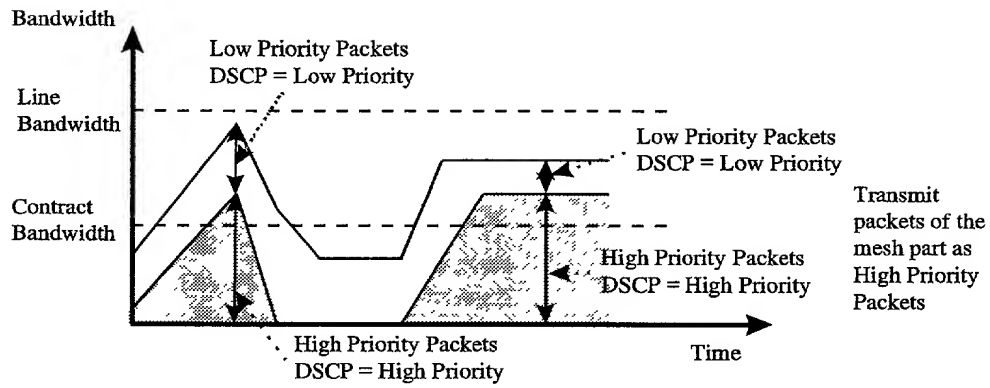


FIG. 13(b)

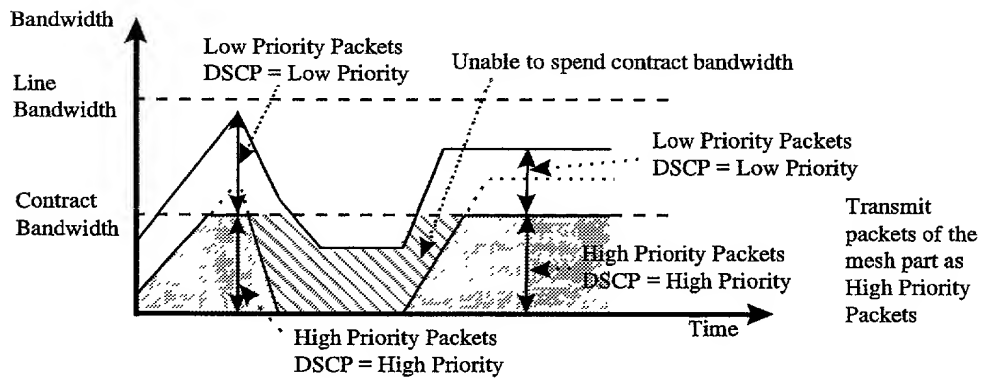


FIG. 13 (c)

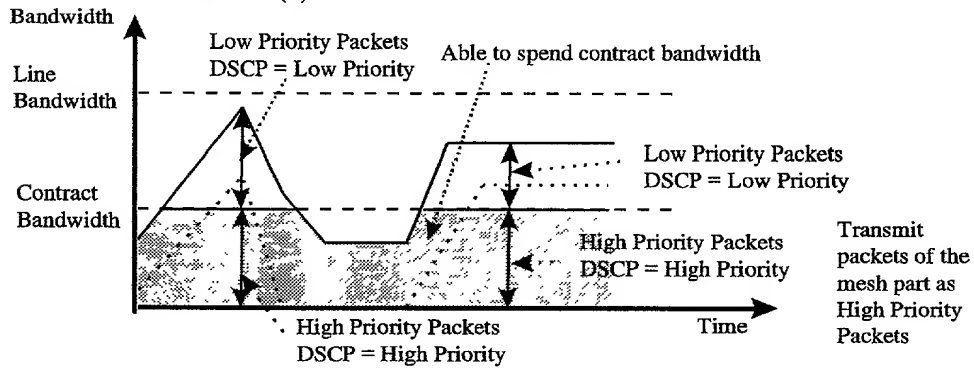


FIG. 14

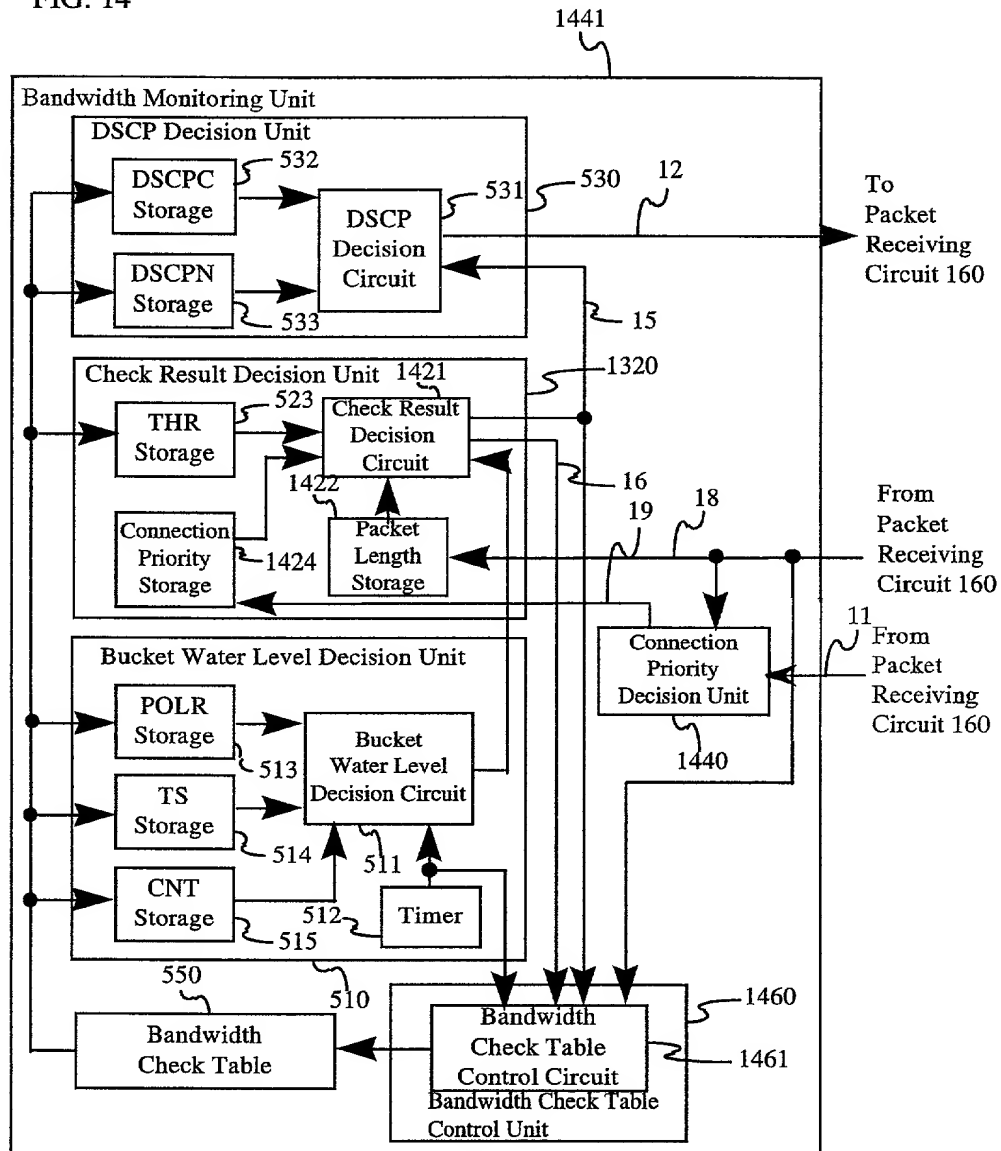


FIG. 15(a)

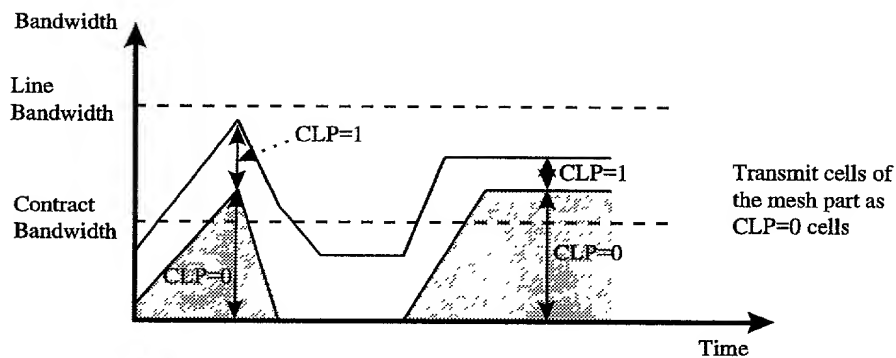


FIG. 15(b)

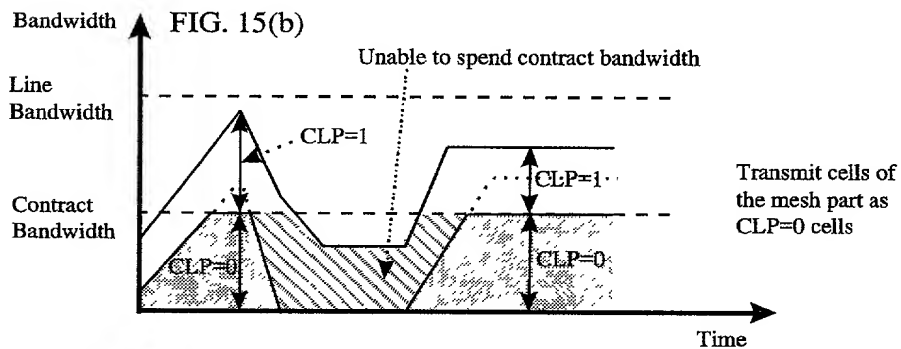
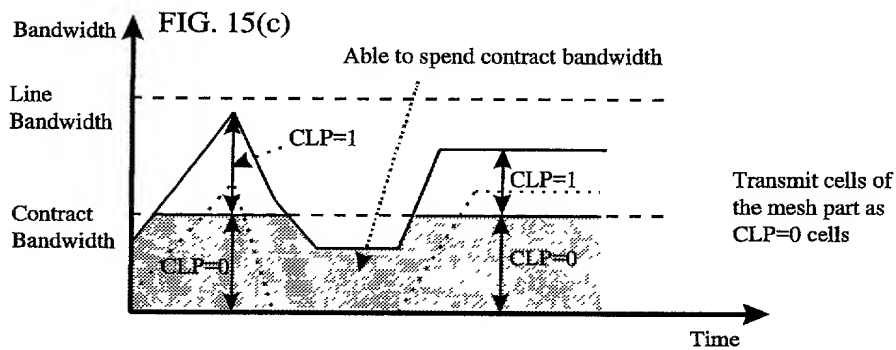


FIG. 15(c)



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## Declaration and Power of Attorney For Patent Application

### 特許出願宣言書及び委任状

### Japanese Language Declaration

### 日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

BANDWIDTH MONITORING METHOD AND ITS DEVICE

上記発明の明細書（下記の欄で×印がついていない場合は、本書に添付）は、

The specification of which is attached hereto unless the following box is checked:

☐ 月 日に提出され、米国出願番号または特許協定条約国際出願番号を \_\_\_\_\_ とし、  
(該当する場合) \_\_\_\_\_ に訂正されました。

☐ was filed on \_\_\_\_\_  
as United States Application Number or  
PCT International Application Number  
\_\_\_\_\_ and was amended on  
\_\_\_\_\_ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

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# Japanese Language Declaration

(日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国以外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基づき国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

## Prior Foreign Application(s)

外国での先行出願

|           |           |
|-----------|-----------|
| 11-154657 | Japan     |
| (Number)  | (Country) |
| (番号)      | (国名)      |
| (Number)  | (Country) |
| (番号)      | (国名)      |

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

|                        |                          |
|------------------------|--------------------------|
| 2 / June / 1999        | <input type="checkbox"/> |
| (Day/Month/Year Filed) |                          |
| (出願年月日)                |                          |

|                        |                          |
|------------------------|--------------------------|
| (Day/Month/Year Filed) | <input type="checkbox"/> |
| (出願年月日)                |                          |

私は、第35編米国法典119条(e)項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

|                   |               |
|-------------------|---------------|
| (Application No.) | (Filing Date) |
| (出願番号)            | (出願日)         |

私は、下記の米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基づき権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of application.

|                   |               |
|-------------------|---------------|
| (Application No.) | (Filing Date) |
| (出願番号)            | (出願日)         |

|  |
|--|
| (Status: Patented, Pending, Abandoned) |
| (現況: 特許許可済、係属中、放棄済)                    |

|                   |               |
|-------------------|---------------|
| (Application No.) | (Filing Date) |
| (出願番号)            | (出願日)         |

|  |
|--|
| (Status: Patented, Pending, Abandoned) |
| (現況: 特許許可済、係属中、放棄済)                    |

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



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### Japanese Language Declaration (日本語宣言書)

委任状： 私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。(弁理士、または代理人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (*list name and registration number*)

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